Client Analysis Checklist

Customized, Confidential, Complimentary

Our plan has been designed to help you determine:

1. Where you are financially,
2. Where you want to go financially, and
3. How you can get to your financial destination.

In order for us to properly complete your Client Needs Analysis, it's important that you have the following information available when you meet with your representative:

- ❑ **Income Information:**
  Paystubs for the Last Month or Last Year's Tax Returns

- ❑ **Retirement Account Contribution Statements:**
  IRAs, 401(k)s, 403(b)s, SEPs, SIMPLEs, Pensions, Other

- ❑ **Non-Retirement Savings or Investment Accounts:**
  Saving and Checking Statements, CDs, Mutual Funds, Other

- ❑ **Insurance Policies, Illustrations, Premium Statements, Dec Pages:**
  Auto, Home, Health, Life, Disability, Group Plans, Long Term Care, Other

- ❑ **Loan and Debt Statements:**
  Home Mortgage, Installment Loans, Credit Cards, Other

- ❑ **Company Benefits Statements**:
  Annual or Quarterly Statement or Benefit Booklet

- ❑ **Childrens' Accounts Statements:**
  Savings Accounts, Savings Bonds, Edu. IRAs, UGMAs, 529s,
  Texas Tomorrow Fund

- ❑ **Monthly Household Expenses:**
  Phone and Utility Bills, Internet Plans, HOA Dues, Other Expenses